

In the United States Patent and Trademark Office

In re Application of: Thomas M. Rosenbaum, Ronald N. Shostack et al.
Filed: Simultaneously Herewith
Serial No.:
For: PORTABLE AIR CONDITIONER AND LIQUID CONTAINER
Atty. Docket No.: PHLV0780-002

Small Entity Declaration – Independent Inventor(s)

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed – and am under no obligation under any contract or law to assign, grant, convey, or license – any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed – or am under an obligation under contract or law to assign, grant, convey, or license – any rights in the invention is listed below:

- ☐ There is no such person, concern, or organization.
☒ Any applicable person, concern, or organization is listed below:

Full Name: Coolwell, LLC

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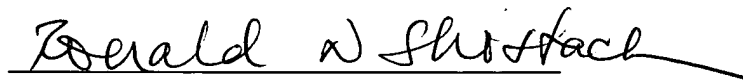
I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.


Signature of First Inventor

Thomas M. Rosenbaum
Printed Name of First Inventor

2/5/04
Date of Signature

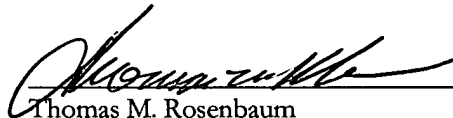

Signature of Second Inventor

Ronald N. Shostack
Printed Name of Second Inventor

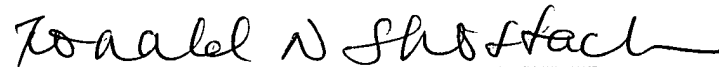
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


Thomas M. Rosenbaum


2/5/04
DATE


Ronald N. Shostack

2/2/04
DATE


Raymond S. Zuckerman

2/5/04
DATE


Bryan R. Faulk
FALK BF

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DECLARATION AND POWER OF ATTORNEY--ORIGINAL APPLICATION

Atty.'s Docket No. PHLV0780-002

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled PORTABLE AIR CONDITIONER AND LIQUID CONTAINER, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

Power of Attorney: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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Signature of Third Inventor

Raymond S. Zuckerman

Printed Name of First Inventor

2/5/04

Date of Signature



Signature of Fourth Inventor

Bryan R. Falk ^{SE}

Printed Name of Second Inventor

2/6/04

Date of Signature

BRYAN R. FALK ^{SE}